113 Election Campaign and Lobbyist Reporting

Functional Group ID= ${CL}$

Introduction:

This Draft Standard for Trial Use contains the format and establishes the data contents of the Election Campaign and Lobbyist Reporting Transaction Set (113) for use within the context of an Electronic Data Interchange (EDI) environment. This Bi-directional transaction set can be used by candidates, candidate committees, party committees, political action groups, lobbyists and other entities to exchange disclosure data.

Notes:

- 1. Recipient Committees in the state of California can use this transaction set to submit California form 425 (Semi-Annual Statement of No Activity)
- 2. This IC can be used to amend previously submitted data. The amended data is a total replacement of the form.
- 3. Throughout this implementation convention, reference is made to segments and data elements within this transaction set. Segments are identified in the following manner 1/NM1/0700. The first number identifies the table that contains the segment. The 2 or 3 characters between the slash marks identify the segment (in the example, NM1 identifies the Individual or Organizational Name segment). The last 4 numbers identify the position of the segment within the table. Thus, 1/NM1/0700 identifies the Individual or Organizational Name segment in table 1 at position 0700.
- 4. A combination of the segment identifier and the position of the data element within the segment, e.g., BGN06 identify data elements within segments. BGN identifies the Beginning Segment and 06 identifies data element 127 which is the sixth data element within the BGN segment.
- 5. Composite data elements are identified in a manner similar to data elements, e.g., AWD01-04. AWD identifies the Amount with Description segment; 01 identifies composite data element C007, which is the first data element within the segment; and -04 identifies sub data element 935, which is the fourth sub data element within the composite data element C007.
- 6. This transaction set contains segments and data elements that by the X12 standard are mandatory. A mandatory segment is identified by an ''M'' in the Requirement Designator field within the transaction set table and by the word ''MANDATORY'' in the Usage row on the segment page. Data elements that are mandatory by the X12 standard are identified by an ''M'' in the first column under the ATTRIBUTES on the segment page. Mandatory segments MUST be present in all transmissions of this transaction set. Mandatory data elements MUST be present in all mandatory segments and in those optional segments that are used in the transaction set.
- 7. This transaction set also contains segments and data elements whose uses are optional by the X12 standard. These segments and data elements are identified in a similar manner as are the mandatory segments and data elements except an ''O'' is displayed in lieu of an ''M'' and the word ''OPTIONAL'' is used in place of ''MANDATORY''. Optional segments and data elements may be used at the discretion of the originator of the transaction set except when the words ''MUST USE'' are present. In this instance, the segment or data element MUST be present in the occurrence of the illustrated HL loop in which the annotation appears.
- 8. This transaction set contains segments and data elements that are optional by the X12 standard but are REQUIRED by this implementation convention under specified conditions. In this instance the word "REQUIRED" will appear in a note associated with the segment or data element and the condition requiring the use of the segment or data element will be specified in this note. Use of the segment, data element or code are REQUIRED whenever the specified condition is present.
- 9. This transaction set contains a HL loop (which includes all segments 2/HL/0100 through 2/MTX/3500) in table 2. This HL loop is used to transmit data applicable to a form or schedule and to categorize that data.

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- 10. Within this 113 transaction set there is only one HL loop identified in table 2, however, this loop may be repeated an infinite number of times. For ease of understanding this implementation convention, the data required by each form or schedule is identified in a separate illustrated HL loop. The illustrated HL loops identify the segments, data elements and codes that are to be used to provide the data required by the form or schedule. The illustrated HL loops can be identified by their position numbers within the transaction set. The HL loop within this 113 transaction set starts at position 2/0100 and ends at position 2/3500. Each segment in the HL loop is at a position that is incremented by 100 from the HL segment, e.g., the second segment in the HL loop is at position 2/0200. Each of the segments in the illustrated loops is incremented by 1 from the segments in the basic HL loop, e.g., 2/0101 through 2/3501, 2/0102 through 2/3502, etc. Notes that appear in these illustrative loops will refer to segments by their ACTUAL positions within the X12 transaction set, NOT by their illustrated positions.
- 11. Each HL loop that starts a form or schedule MUST cite code RP (Report) in HL03. There may be other HL loops within a form or schedule. Each of these loops will cite a code other than RP in HL03. Each code listed in HL03 may be used ONLY one time in each HL loop that transmits data for a form or schedule. All HL loops MUST be numbered consecutively in HL01 throughout the entire transaction set.
- 12. Codes listed in data elements are the only codes that may be used in that data element.
- 13. Instructions regarding the use of this transaction set to comply with the reporting requirements of the California Secretary of State's office will appear as italicized, bolded, shaded text. Any other text that appears within this transaction set is part of the X12 standard.
- 14. Segment syntax and semantic notes are part of the X12 standard and MUST be complied with.
- 15. This IC accommodates all the instructions for completing a form.

Heading:

Page No. 5	Pos. <u>No.</u> 0100	Seg. ID ST	Name Transaction Set Header	Req. <u>Des.</u> M	Max.Use	Loop <u>Repeat</u>	Notes and Comments
6	0200	BGN	Beginning Segment	M	1		
Not Used	0300	N9	Reference Identification	O	>1		
Not Used	0400	DTM	Date/Time Reference	O	>1		
Not Used	0500	LQ	Industry Code	O	>1		n1
Not Used	0600	MTX	Text	O	>1		
			LOOP ID - NM1			>1	
8	0700	NM1	Individual or Organizational Name	O	1		n2
10	0800	N2	Additional Name Information	O	2		
Not Used	0900	N3	Address Information	O	2		
Not Used	1000	N4	Geographic Location	O	1		
Not Used	1100	PER	Administrative Communications Contact	O	>1		
Not Used	1200	N9	Reference Identification	O	>1		
			LOOP ID - AWD			>1	
Not Used	1300	AWD	Amount with Description	О	1		n3
Not Used	1400	LQ	Industry Code	O	>1		n4
Not Used	1500	MTX	Text	O	>1		

Detail:

Page <u>No.</u>	Pos. No.	Seg. <u>ID</u>	<u>Name</u>	Req. Des.	Max.Use	Loop Repeat	Notes and Comments
			LOOP ID - HL			>1	
11	0100	HL	Hierarchical Level	O	1		n5
			LOOP ID - N9			>1	

13	0200	N9	Reference Identification	0	1	
15	0300	DTM	Date/Time Reference	O	>1	
Not Used	0400	NX2	Location ID Component	O	>1	
Not Used	0500	LQ	Industry Code	O	>1	n6
16	0600	MTX	Text	O	>1	
			LOOP ID - NM1			>1
17	0700	NM1	Individual or Organizational Name	0	1	n7
19	0800	N2	Additional Name Information	0	2	
20	0900	N3	Address Information	O	2	
21	1000	N4	Geographic Location	O	1	
22	1100	PER	Administrative Communications Contact	O	>1	
23	1200	N9	Reference Identification	0	>1	
25	1300	DTM	Date/Time Reference	О	>1	
26	1400	NX2	Location ID Component	O	>1	
Not Used	1500	EMS	Employment Position	O	>1	
Not Used	1600	TPB	Business Professional Title	О	>1	
Not Used	1700	PWK	Paperwork	О	>1	
Not Used	1800	PAM	Period Amount	О	>1	
Not Used	1900	G86	Signature	O	1	
Not Used	2000	MTX	Text	О	>1	
			LOOP ID - LQ			>1
27	2100	LQ	Industry Code	0	1	
Not Used	2200	N9	Reference Identification	O	>1	
Not Used	2300	DTM	Date/Time Reference	O	>1	
Not Used	2400	PCT	Percent Amounts	O	>1	
Not Used	2500	QTY	Quantity	O	>1	
Not Used	2600	MTX	Text	O	>1	
			LOOP ID - AWD			>1
Not Used	2700	AWD	Amount with Description	0	1	
Not Used	2800	DTM	Date/Time Reference	O	>1	
Not Used	2900	PCT	Percent Amounts	O	>1	
Not Used	3000	QTY	Quantity	O	>1	
Not Used	3100	N9	Reference Identification	O	>1	
Not Used	3200	PDL	Payment Details	О	>1	
Not Used	3300	LQ	Industry Code	О	>1	
Not Used	3400	N1	Name	О	>1	
Not Used	3500	MTX	Text	O	>1	
28	3600	SE	Transaction Set Trailer	M	1	

Transaction Set Notes

- 1/0500 The LQ segment provides coded information related to a filing, such as, a notice of a late filing.
- 1/0700 The NM1 segment provides name and address information regarding the sender and receiver of a filing.
- 1/1300 The AWD loop provides monetary amounts covering fees and related information associated with a late filing.
- 1/1400 The LQ segment provides coded information related to the monetary amounts carried in the AWD loop.
- 2/0100 The HL loop divides a filing into sections such as basic report information, loans, contributions, receipts, expenditures, related parties and other information contained in a filing.
- 2/0500 The LQ segment provides coded information related to an individual report identified in the N9 segment.
- 2/0700 The NM1 segment provides name, address and related information for reportable entities, such as filers, committees, committee officers, and contributors.

- 2/2100 The LQ loop provides coded information, such as, type of committee, last office held by a candidate, and other information about the entities identified in the NM1 segment.
- 2/3300 The LQ segment provides coded information related to the monetary amount carried in the AWD segment as reported for the entity identified in the NM1 loop.
- 2/3400 The N1 segment provides the name of an entity who benefited from an expenditure made by a party identified in the NM1 segment.

Segment: ST Transaction Set Header

Position: 0100

Loop:

Level: Heading Usage: Mandatory

Max Use: 1

Purpose:

To indicate the start of a transaction set and to assign a control number

Syntax Notes: Semantic Notes:

1 The transaction set identifier (ST01) is used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).

2 The implementation convention reference (ST03) is used by the translation routines of the interchange partners to select the appropriate implementation convention to match the transaction set definition.

Comments:

M	Ref. <u>Des.</u> ST01	Data Element 143	Name Transaction Set Identifier Code	<u>Attı</u> M	ributes ID 3/3	
			Code uniquely identifying a Transaction Set			
			113 Election Campaign and Lobbyist Report	rting		
M	ST02	329	Transaction Set Control Number	\mathbf{M}	AN 4/9	
			Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set			
			A unique number assigned by the submitter of the transact application program. This same number is cited in SE02 of segment.			
	ST03	1705	Implementation Convention Reference	0	AN 1/35	
			Reference assigned to identify Implementation Convention			
			Cite 113CA425			

BGN Beginning Segment **Segment: Position:** 0200 Loop: Level: Heading Usage: Mandatory Max Use: **Purpose:** To indicate the beginning of a transaction set **Syntax Notes:** If BGN05 is present, then BGN04 is required. **Semantic Notes:** BGN02 is the transaction set reference number. BGN03 is the transaction set date. 3 BGN04 is the transaction set time. BGN05 is the transaction set time qualifier. BGN06 is the transaction set reference number of a previously sent transaction affected by the current transaction. **Comments: Notes:** This segment is used to provide basic information regarding the data contained within this transaction set. **Data Element Summary** Ref. Data **Element** Des. Name Attributes BGN01 353 **Transaction Set Purpose Code** M ID 2/2 Code identifying purpose of transaction set Use this data element to identify the purpose of the data contained within this transaction set. Only one of the listed codes may be used. 00 Original Use this code to indicate an original submission of the data contained within this transaction set. When this code is used, BGN07 is REQUIRED and MUST cite code EC. CO Corrected 1. Use this code to indicate that the data contained within this transaction set has been amended from a previous submission. The amended data is a total replacement of the data that was previously submitted (i.e., form 425). 2. When this code is used, BGN06 is REQUIRED and MUST contain the unique number of the previously transmitted transaction set. 3. The unique number of the previously transmitted transaction set was carried in BGN02 of that transmission. BGN02 127 **Reference Identification** M AN 1/50

 \mathbf{M}

M Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier Use this data element to provide a unique number that can be used to identify this transmission in future communications between the submitter and receiver of this transaction set. This number is different than the number that is contained in ST02. \mathbf{M} BGN03 373 Date M DT 8/8 Date expressed as CCYYMMDD Use this data element to identify the transmission date of this transaction set. BGN04 337 Time X TM 4/8 Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or

HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds

			are expressed as follows: $D = tenths (0-9)$ and $DD = hundredths (00-99)$					
			This data element may be used to identify the time of the transmission.					
			Identify the time as local time in HHMM format.					
Not Used	BGN05	623	Time Code	O	ID 2/2			
			Code identifying the time. In accordance with International Standards					
			Organization standard 8601, time can be specified by a + or - and an indication					
			in hours in relation to Universal Time Coordinate (UTC) time; since + is a					
			restricted character, + and - are substituted by P and M in t	he code	es that follow			
	BGN06	127	Reference Identification	0	AN 1/50			
			Reference information as defined for a particular Transaction Set or as					
			specified by the Reference Identification Qualifier Use this data element to identify the unique reference number of a previously transmitted 113 transaction set. The unique reference number of the					
			previously transmitted 113 transaction set was cited in BC		•			
			transmission. This data element is REQUIRED when BC	5N01 c	ites code CO.			
	BGN07	640	Transaction Type Code	O	ID 2/2			
			Code specifying the type of transaction					
			Use this data element is REQUIRED when BGN01 is cod	le 00 to	identify the			
			nature of the data contained within this transaction set.					
			EC Campaign Filing					
Not Used	BGN08	306	Action Code	0	ID 1/2			
			Code indicating type of action					
Not Used	BGN09	786	Security Level Code	O	ID 2/2			
			Code indicating the level of confidentiality assigned by the sender to the					

information following

Segment: NM1 Individual or Organizational Name

Position: 0700

Loop: NM1 Optional

Level: Heading Usage: Optional Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

2 If NM111 is present, then NM110 is required.

Semantic Notes: 1 NM102 qualifies NM103.

Comments: 1 NM110 and NM111 further define the type of entity in NM101.

Notes: Use this segment to identify the "from" and "to" entities of this submission.

	Dof	Data	Data 1	Aement Summary			
M	Ref. <u>Des.</u> NM101	Data <u>Element</u> 98	<u>Name</u> Entity Identifi	er Code		ributes ID 2/3	
			Code identifyin individual	Code identifying an organizational entity, a physical location individual			
			Use this segme elements.	Use this segment to qualify the entity identified in the following data elements.			
			FR	Message From			
				Use this code to indicate the entity su	ıbmitti	ng the data.	
			TO	Message To			
				Use this code to indicate all of the en	itities ti	hat are to	
М	NIM102	1065	Entity Type ()	receive the data.	м	ID 1/1	
M	NM102	1005	Entity Type Q		M	ID 1/1	
				g the type of entity	M101 a	s a nowson a	
				lement to identify the entity qualified in N 1 government entity.	v1101 a	s a person, a	
			1	Person			
				Use this code to indicate an individu	al.		
			6	Organization			
				Use this code to indicate a committee	e .		
			G	Government			
Must Use	NM103	1035	Name Last or	Organization Name	O	AN 1/60	
			Individual last	name or organizational name			
			1. Use this dat	a element to provide the name of an entity.	•		
				02 is code 1 and the person has a moniker nent to provide the moniker.	(nickn	ame), use the	
			government en	02 is code 6 or G, and the name of the com tity is greater than 60 characters, use the 1			
	NIM 104	1026	to provide the l Name First	balance of the name.	0	A NI 1/25	
	NM104	1036	Individual first	nomo	O	AN 1/35	
	NM105	1037	Name Middle	name	0	A NI 1/25	
	NIVITUS	1037		dle name or initial	U	AN 1/25	
	NM106	1038	Name Prefix	ne name of initial	o	AN 1/10	
	14141100	1030	Prefix to indivi	dual name	J	AIN 1/10	
	NM107	1039	Name Suffix	duai name	0	AN 1/10	
	1111110/	1007	Suffix to indivi	dual name	J	111 1/10	
Not Used	NM108	66		Code Qualifier	X	ID 1/2	
1100 0000	11111100	00		ng the system/method of code structure used			
113CA425 ((004021)		2000 (0/)	8	Nov	ember 5, 1999	

Not Used	NM109	67	Identification Code	X	AN 2/80
			Code identifying a party or other code		
Not Used	NM110	706	Entity Relationship Code	\mathbf{X}	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical location individual	, proj	perty or an

Segment: N2 Additional Name Information

Position: 0800

Loop: NM1 Optional

Level: Heading Usage: Optional

Max Use: 2

Notes:

Purpose: To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 in the 1/NM1/0700 segment is code 6 or G, use this segment to provide the balance of a committee or government organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data Element 93	Name Name Free-form name	Attributes M AN 1/60
			1. When providing a moniker, insert a space after the numeric 1, 2, or 3.	e moniker and cite the
			 1 = moniker is before the first name 2 = moniker is between the first and middle name 3 = moniker is between the middle and last name 	
	N202	93	Name Free-form name	O AN 1/60

Segment: HL Hierarchical Level

Position: 0100

Loop: HL Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

Notes:

This HL loop illustrates the submission of data required by California Form 425, Semi-Annual Statement of No Activity.

Data Element Summary

			Data Ele	ment Summary				
	Ref. <u>Des.</u>	Data <u>Element</u>	<u>Name</u>			<u>ributes</u>		
M	HL01	628	Hierarchical ID	Number	M	AN 1/12		
			A unique number in a hierarchical s	assigned by the sender to identify a particustructure	lar da	nta segment		
			Use this data element to provide a unique number that can identify each HL loop. It is recommended that the first HL loop be identified by the number 1					
Not Used	HL02	734	Hierarchical Par	ent and that each successive HL loop be in	O	AN 1/12		
Not Usea	ILU2	734			_			
			Identification number of the next higher hierarchical data segment that the					
	^-			scribed is subordinate to				
M	HL03	735	Hierarchical Lev		M	ID 1/2		
			Code defining the	e characteristic of a level in a hierarchical st	ructu	re		
			1. This data element is used to identify the type of data that is being transmitted within the current HL loop.					
			2. Each of the lis	sted codes MUST be used only one time.				
			EB	Filer				
				1. Use this code to indicate that the date follows in this HL loop is applicable to is submitting the data contained in this	the e	entity that		
				2. When this code is used, the 2/NM1/MUST be used to identify the filer and entities.		•		
			RP	Report				
				1. Use this code to indicate that the da	ta wh	nich		

follows in this HL loop is information applicable to the

form being submitted (i.e., form number and period of no activity).

2. When this code is used, the 2/N9/0200 loop MUST be used to carry the associated information.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Reference Identification Segment:

Position: 0200

> Loop: N9 Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

To transmit identifying information as specified by the Reference Identification Qualifier **Purpose:**

Syntax Notes: At least one of N902 or N903 is required.

If N906 is present, then N905 is required.

If either C04003 or C04004 is present, then the other is required. 3

4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes: N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

Comments:

Notes:

When HL03 in the 2/HL/0100 segment is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; the original or amended

filing identification number.

Data Element Summary							
M	Ref. <u>Des.</u> N901	Data <u>Element</u> 128	Name Reference Identifie	cation Qualifier	Attr M	ributes ID 2/3	
				e Reference Identification			
			FQ	Form Number			
			O8	Use this code to indicate the number of is being transmitted, i.e., F425. Original Filing	f the j	form that	
			OJ	When BGN01 in the 1/BGN/0200 segn 00, use of this code is REQUIRED to it original filing number. When this cod always cite 000 in N902. If the require provided, the Secretary of State's office filing of the form 425. Amendment Filing	dentij e is u ed nui	fy the sed, mber is not	
				When BGN01 in the 1/BGN/0200 segnuse of this code is REQUIRED to indicamendment number. When this code is amended filing should cite 001. Subsefilings should increment the amended by 1, e.g., 002, 003, etc. If the required provided, the Secretary of State's office filing of the amended form 425.	cate the suse of t	he filing d, the first t amended number aber is not	
	N902	127	Reference Identifie		X	AN 1/50	
	24000	240	specified by the Res	ion as defined for a particular Transaction ference Identification Qualifier			
Not Used	N903	369	Free-form Descrip		X	AN 1/45	
	27004		Free-form description	ve text		D	
Not Used	N904	373	Date		O	DT 8/8	
Not Hand	NIOOE	227	Date expressed as C Time	CCYYMMDD	v	TIM 4/0	
Not Used	N905	337		24 hann alaalatina oo fallanna IIIDAN oo	. IIII	TM 4/8	
Not Used	N906	623	HHMMSSD, or HF 59), S = integer second are expressed as fol Time Code	24-hour clock time as follows: HHMM, of HMMSSDD, where H = hours (00-23), M onds (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= mindecing ths (0	nutes (00- nal seconds 00-99) ID 2/2	
			Organization standa	e time. In accordance with International S ard 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time	and	an indication	

Not Used N907		C040	restricted character, + and - are substituted by P and M in the codes that follow Reference Identifier O				
			To identify one or more reference numbers or identification n specified by the Reference Qualifier	umb	ers as		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04002	127	Reference Identification	M	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as		
Not Used	C04003	128	Reference Identification Qualifier	\mathbf{X}	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04004	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as		
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04006	127	Reference Identification	\mathbf{X}	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as		

Segment: DTM Date/Time Reference

Position: 0300

Loop: N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To specify pertinent dates and times

Syntax Notes: 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes: Comments:

Notes: Use this segment to identify the period of no activity.

M	Ref. <u>Des.</u> DTM01	Data <u>Element</u> 374	Name Date/Time Qualific	er	Attı M	ributes ID 3/3		
			Code specifying typ	Code specifying type of date or time, or both date and time				
			Use this data eleme DTM02.	nt to cite a code that qualifies the date id	lentif	ied in		
			193	Period Start				
				Use this code to indicate the beginning "period of no activity".	date	of the		
			194	Period End				
				Use this code to indicate the ending da "period of no activity".	te of	the		
	DTM02	373	Date		X	DT 8/8		
			Date expressed as CCYYMMDD					
			Use this data eleme cited in DTM01.	nt to identify the date applicable to the q	ualif	ier code		
Not Used	DTM03	337	Time		X	TM 4/8		
Not Used	DTM04	623	HHMMSSD, or HH 59), S = integer seco	24-hour clock time as follows: HHMM, of MMSSDD, where H = hours (00-23), Monds (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= mi decir	nutes (00- nal seconds		
			Code identifying the	e time. In accordance with International S	Standa	ards		
			Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow					
Not Used	DTM05	1250	Date Time Period			ID 2/3		
			· ·	date format, time format, or date and time				
Not Used	DTM06	1251	Date Time Period		X	AN 1/35		
			Expression of a date	e, a time, or range of dates, times or dates	and	times		

Segment: MTX Text

Position: 0600

Loop: N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To specify textual data

filed.

Syntax Notes: 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- **3** If MTX05 is present, then MTX04 is required.

Semantic Notes: Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being

2. The use of text is discouraged if the information can be provided in a codified

manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk

of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<u>Element</u>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving J	printer
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: NM1 Individual or Organizational Name

Position: 0700

Loop: NM1 Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

Semantic Notes: 1 NM102 qualifies NM103.

Comments: 1 NM110 and NM111 further define the type of entity in NM101.

Notes: When HL03 in the 2/HL/0100 segment is code EB, use this segment to identify the

filing committee and the treasure.

	Ref.	Doto	Data Elem	nent Summary		
	Des.	Data Element	Name		Attri	butes
M	NM101	98	Entity Identifier C	Code		ID 2/3
			•	n organizational entity, a physical location	, prope	erty or an
			individual	8	, rr	,
			Use this segment to elements.	qualify the entity identified in the follow	ring de	ata
			EBN	Treasurer		
				 When HL03 in the 2/HL/0100 segmuse this code to indicate the treasure of committee. When this code is used, NM102 sho and the the following segments MUST 2/N9/1200 to provide a PIN number that the entity's signature, the 2/DTM/1300 date of the signature, the 2/NX2/1400 sindicate the location where the docume and 2/LQ/2100 to provide a verificatio 	the fi uld cit be use at repr to pro segmen	te code 1 ed: the resents ovide a nt to s signed,
			FN	Filer Name		
				1. When HL03 in the 2/HL/0100 segmuse of this code is REQUIRED to indicate committee that is filing this statements.	eate the ent.	e name of
				2. When this code is used, NM102 sho NM108/09 MUST be used to provide the		
				committee identification number and the segment MUST be used to provide an ell number.	he 2/N	9/1200
M	NM102	1065	Entity Type Quali	fier	\mathbf{M}	ID 1/1
			Code qualifying the	e type of entity		
			Use this data eleme individual, or a con	ent to identify the entity qualified in NM1 nmittee.	01 as	an
			1	Person		
				Use this code to indicate an individual.		
			6	Organization		
				Use this code to indicate a committee.		
Must Use	NM103	1035	Name Last or Org	anization Name	0	AN 1/60
			_	e or organizational name		
				<u> </u>		

1. Use of this data element is REQUIRED to provide the name of an entity.

2. When NM102 is code 1 and the person has a moniker (nickname), use the

			3. When NM102 is code 2 and the name of the entity is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name.				
	NM104	1036	Name First	O	AN 1/35		
			Individual first name				
	NM105	1037	Name Middle	O	AN 1/25		
			Individual middle name or initial				
	NM106	1038	Name Prefix	O	AN 1/10		
			Prefix to individual name				
	NM107	1039	Name Suffix	O	AN 1/10		
			Suffix to individual name				
	NM108	66	Identification Code Qualifier	\mathbf{X}	ID 1/2		
			Code designating the system/method of code structure used for Identification Code (67)				
			When NM101 is code FN, use of the this data element is REQUIRED to qualify the identification number in NM109. Failure to provide the identification number will be cause for the Secretary of State's office to reject the filing of the form 425.				
			75 State or Province Assigned Number				
			Use this code to indicate the state assigned committee identification number.				
	NM109	67	Identification Code	X	AN 2/80		
			Code identifying a party or other code				
			Use this data element to provide the state assigned committee number.	ee ide	entification		
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2		
			Code describing entity relationship				
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3		
			Code identifying an organizational entity, a physical location individual	ı, pro	perty or an		

Segment: N2 Additional Name Information

Position: 0800

Loop: NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use:

Notes:

Purpose: To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data		
	Des.	Element	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the mon numeric 1, 2, or 3.	iker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

Position: 0900

Loop: NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

Purpose: To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data <u>Element</u> 166	Name Address Information	Attributes M AN 1/55
171	11301	100	Address information	W AN 1/33
	N302	166	Address Information	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1000

Loop: NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

Semantic Notes:

Comments:

1 A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Nome	A 44.	wihartaa	
	<u>Des.</u> N401	Element 19	Name City Name	O	ributes AN 2/30	
	11101	17	Free-form text for city name	O	111 2/00	
	N402	156	State or Province Code	X	ID 2/2	
			Code (Standard State/Province) as defined by appropriate go	vernn	nent agency	
Must Use	N403	116	Postal Code	O	ID 3/15	
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)			
			This data element MUST be used to provide a ZIP code.			
Not Used	N404	26	Country Code	X	ID 2/3	
			Code identifying the country			
Not Used	N405	309	Location Qualifier	X	ID 1/2	
			Code identifying type of location			
Not Used	N406	310	Location Identifier	O	AN 1/30	
			Code which identifies a specific location			
Not Used	N407	1715	Country Subdivision Code	\mathbf{X}	ID 1/3	
			Code identifying the country subdivision			

 ${f PER}$ Administrative Communications Contact **Segment: Position:** 1100 NM1 Loop: Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To identify a person or office to whom administrative communications should be directed **Syntax Notes:** If either PER03 or PER04 is present, then the other is required. If either PER05 or PER06 is present, then the other is required. If either PER07 or PER08 is present, then the other is required. **Semantic Notes: Comments: Notes:** Use this segment to provide up to 3 communication numbers applicable to the entity identified in the 2/NM1/0700 segment. **Data Element Summary**

	Ref.	Data	·	
	Des.	Element	Name	<u>Attributes</u>
M	PER01	366	Contact Function Code	M ID 2/2
			Code identifying the major duty or responsibility	
			Use this data element to qualify the party associ	
			numbers provided in the following data elements IC Information Contact	ts.
NI 4 TI 1	DEDAA	0.2		0 1711/0
Not Used	PER02	93	Name	O AN 1/60
			Free-form name	/-
	PER03	365	Communication Number Qualifier	X ID 2/2
			Code identifying the type of communication num	nber
			TE Telephone	
	PER04	364	Communication Number	X AN 1/256
			Complete communications number including coapplicable	untry or area code when
			The telephone number shall be transmitted as a the area code and extension, if applicable. Con extension at the end of the number, e.g., 70355.	ncatenate the letter X plus the
	PER05	365	Communication Number Qualifier	X ID 2/2
			Code identifying the type of communication num	nber
			EM Electronic Mail	
			Use to indicate an e-mail a	ıddress.
	PER06	364	Communication Number	X AN 1/256
			Complete communications number including coapplicable	untry or area code when
	PER07	365	Communication Number Qualifier	X ID 2/2
			Code identifying the type of communication nun	nber
			Code identifying the type of communication num FX Facsimile	mber
	PER08	364	FX Facsimile	
	PER08	364	FX Facsimile Communication Number	X AN 1/256
	PER08	364	FX Facsimile Communication Number Complete communications number including con	X AN 1/256
Not Used	PER08	364 443	FX Facsimile Communication Number	X AN 1/256

Segment: N9 Reference Identification Position: 1200

Loop: NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes: 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

Comments:

Notes:

1. When NM101 is code FN, also use this segment to provide a Secretary of State assigned electronic filer identification number.

2. When NM101 in the 2/NM1/0700 segment is code EBN, also use this segment to provide a Personal Identification Number (PIN) that represents the entity's verification and signature on the form 425.

			Data E	lement Summary				
	Ref.	Data						
	Des.	Element	<u>Name</u>		<u>Attr</u>	<u>ributes</u>		
M	N901	128	Reference Iden	tification Qualifier	M	ID $2/3$		
			Code qualifying	the Reference Identification				
			Use this data el	ement to qualify the number cited in N902.				
			1W	Member Identification Number				
			4A	When NM101 in the 2/NM1/0700 segruse this code to indicate the Secretary assigned electronic filer identification Personal Identification Number (PIN)	of Sta	ite		
			11.1	When NM101 in the 2/NM1/0700 segr	mont i	is code		
				EBN, use this code to indicate a PIN i represents the entity's signature				
	N902	127	Reference Iden		X	AN 1/50		
			Reference infor	mation as defined for a particular Transaction	n Set (or as		
			specified by the	Reference Identification Qualifier				
Not Used	N903	369	Free-form Des	cription	\mathbf{X}	AN 1/45		
			Free-form descr	Free-form descriptive text				
Not Used	N904	373	Date		O	DT 8/8		
			Date expressed	as CCYYMMDD				
Not Used	N905	337	Time		\mathbf{X}	TM 4/8		
N. A. I.	Noor	(22	HHMMSSD, or 59), S = integer are expressed as	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSDD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)				
Not Used	N906	623	Time Code		0	ID 2/2		
			Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow					
Not Used	N907	C040	Reference Iden		O			
Not II 3	C04001	130	specified by the	or more reference numbers or identification Reference Qualifier				
Not Used	C04001	128		atification Qualifier	M	ID 2/3		
			Code qualifying	the Reference Identification				

Not Used	C04002	127	Reference Identification	\mathbf{M}	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	\mathbf{X}	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: DTM Date/Time Reference

Position: 1300

Loop: NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To specify pertinent dates and times

Syntax Notes: 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes: Comments:

Notes:

When N901 in the 2/N9/1200 segment is code 4A, use this segment to identify the date

the form 425 was signed.

	Ref.	Data						
	Des.	Element	<u>Name</u>		Attı	<u>ributes</u>		
M	$\overline{DTM01}$	374	Date/Time Qualifi	ier	M	ID 3/3		
			Code specifying ty	pe of date or time, or both date and time				
			EDE	Executed				
				Use this code to indicate the date the fo signed.	rm 4	25 was		
	DTM02	373	Date		\mathbf{X}	DT 8/8		
			Date expressed as 0	CCYYMMDD				
Not Used	DTM03	337	Time		\mathbf{X}	TM 4/8		
Not Used	DTM04	623	HHMMSSD, or HI 59), S = integer sec	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSDD, where $H = hours (00-23)$, $M = minutes (00-59)$, $S = integer seconds (00-59)$ and $DD = decimal seconds$; decimal seconds are expressed as follows: $D = tenths (0-9)$ and $DD = hundredths (00-99)$				
Not Ugod	DTM05	1250	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a $+$ or $-$ and an indication in hours in relation to Universal Time Coordinate (UTC) time; since $+$ is a restricted character, $+$ and $-$ are substituted by P and M in the codes that follow					
Not Used	במואו ו ת	1250	Date Time Period	•	X	ID 2/3		
			•	e date format, time format, or date and time	e tori			
Not Used	DTM06	1251	Date Time Period		X	AN 1/35		
			Expression of a dat	te, a time, or range of dates, times or dates	and t	imes		

Segment: NX2 Location ID Component

Position: 1400

Loop: NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To define types and values of a geographic location

Syntax Notes: Semantic Notes:

Comments: Notes:

When N901 in the 2/N9/1200 segment cites code 4A, use this segment to identify the

location where the form 425 was signed.

	Ref.	Data			
	Des.	Element	<u>Name</u>	<u>Attr</u>	<u>ributes</u>
M	NX201	1106	Address Component Qualifier	\mathbf{M}	ID 2/2
			Code qualifying the type of address component		
			17 Unstructured City and State		
			Use this code when N9 in the 2/N9/2 code 4A.	1200 seg	ment is
M	NX202	166	Address Information	M	AN 1/55
			Address information		
			When NX201 is code 17, use this segment to identify the the form 425 was signed. The full name of the city, followed by the 2 position state code is preferred.	_	
Not Used	NX203	1096	County Designator	O	ID 5/5
			Code identifying the county within a state		

Segment: LO Industry Code

Position: 2100

Loop: LQ Optional

Level: Detail Usage: Optional

Max Use:

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

Notes:

- 1. When NM101 is code EBN, use this segment to provide a verification statement.
- 2. The verification statement, is identified by a code contained in the California Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 3. All codes contained in the California Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data			
Des.	Element	<u>Name</u>	Att	<u>ributes</u>
LQ01	1270	Code List Qualifier Code	O	ID 1/3
		Code identifying a specific industry code list		
		Use this data element to identify the code list that contains in LQ02.	the co	ode specified
		EAF California Campaign Disclosure Repo	rt Cod	es
LQ02	1271	Industry Code	X	AN 1/30
		Code indicating a code from a specific industry code list		
		When NM101 is code EBN, enter a code from section 04 of Campaign Disclosure Code List, if applicable.	of the (California

Segment: **SE** Transaction Set Trailer

Position: 3600

Loop:

Level: Detail Usage: Mandatory

Max Use: 1

Purpose: To indicate the end of the transaction set and provide the count of the transmitted

segments (including the beginning (ST) and ending (SE) segments)

Syntax Notes: Semantic Notes:

Comments: 1 SE is the last segment of each transaction set.

Ref. <u>Des.</u> SE01	Data Element 96	Name Number of Included Segments	Attr M	ributes N0 1/10
		Total number of segments included in a transaction set including ST and SE segments		
		This data element identifies the total number of segments included in the transaction set being transmitted. This number includes the ST (header) and SE (trailer) segments		
SE02	329	Transaction Set Control Number	M	AN 4/9
		Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set This data element MUST cite the same number as is cited in ST02 of the		
	Des. SE01	Des. Element SE01 96	Des. SE01 Point Name Number of Included Segments	Des. Element Name Number of Included Segments M